

10-81-01

A

Express Mail Label No.: EL 831678187 US

Date of Deposit: September 28, 2001

Attorney Docket No. 23908-501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Rina Goldshtein  
APPLICATION IDENTIFIER:

FOR: HYDROPHILIC COMPLEXES OF LIPOPHILIC MATERIALS AND AN  
APPARATUS AND METHOD FOR THEIR PRODUCTION

September 28, 2001  
Boston, Massachusetts

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION  
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 31);  
Specification (20 pages); Claims (9 pages); Abstract (1 page); and  
Drawings: 1 sheet; FIG. 1.  
☐ Formal  
☒ Informal
3. ☒ Declaration and Power of Attorney  
☒ Unsigned  
☐ Signed
4. ☐ Information Disclosure Statement (IDS)  
☐ Copy of IDS and PTO-1449 (\_\_\_ pages)  
☐ Copies of references cited
5. ☐ Assignment Papers  
☐ Recordation Form Cover Sheet (PTO-1595)  
☐ Assignment Document
6. ☒ Small Entity Status  
☐ Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).  
☒ Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).  
☐ Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

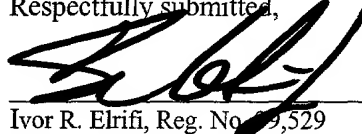
FIRST-NAMED INVENTOR OR  
APPLICATION IDENTIFIER: **Rina Goldshtein**  
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	49	- 20 =	29	\$ 18.00	\$522.00
Independent Claims (37 C.F.R. 1.16(b))	5	- 3 =	2	\$80.00	\$160.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	0
				SUBTOTAL:	\$1,392.00
				Reduction by 50% for filing by small entity:	- \$696.00
				<b>TOTAL FEE:</b>	<b>\$696.00</b>

8. ☒ A check in the amount of **\$696.00** is enclosed.
9. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 23908-501:
- ☒ Fees required under 37 C.F.R. §1.16;
  - ☒ Fees required under 37 C.F.R. §1.17;
  - ☒ Fees required under 37 C.F.R. §1.18.
10. ☒ Return Receipt Postcard Enclosed.
11. ☐ Other Documents Enclosed:
- ☐ Change of Attorney Address In Application.
  - ☐ Limited Recognition under 37 C.F. § 10.9(b) for Michel Morency.

Respectfully submitted,



Dated: September 28, 2001

Ivor R. Elrifi, Reg. No. 29,529  
Barry J. Marenberg, Reg. No. 40,715  
Attorney(s) for Applicants  
MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

TRA 1571190v1